

Regulatory Disclosure

Basic Details :

Stock Broker Name	Registration Number	Registered Address	Contact Number	Email id
SUNRISE GILTS & SECURITIES (P) LIMITED	INZ000025734	514, 5th Floor, Pinnacle Business Park, Corporate Road, Prahaladnagar, Ahmedabad 380015 Gujarat-India.	+91 96870 46466	grievance@sunrisegilts.com

Escalation matrix :

Contact Person	Designation	Address	Contact No.	Email ID
Mr. Mayank Neel	Senior Manager - Grievance Cell	514, 5th Floor, Pinnacle Business Park, Prahaladnagar, Ahmedabad 380015 Gujarat-India	+91 96870 46466	grievance@sunrisegilts.com
Mr. Barun More	CEO / Director		079-49259451	sunstock3@gmail.com
Mr. Pratik Kumar More	Director		079-47651702	Pratik@sunrisegilts.co.in
Mr. Yash Shah	Compliance Officer		079-40327404	info@sunrisegilts.co.in

Key Managerial Personnel

Name	Designation	Contact No.	Email ID
Mr. Barun More	Director /CEO	079-40327415	sunstock3@gmail.com
Mr. Pratik Kumar More	Director	079-47651702	Pratik@sunrisegilts.co.in
Mr. Yash Shah	Compliance Officer	079-40327404	info@sunrisegilts.co.in
Mrs Dhara makwana	Senior board dealers	+91 96870 46465	quotation@sunrisegilts.co.in

Investor Advisory

Important Notice to Investors

Attention Investors! Please be aware of the following important guidelines:

- Beware of Promises of Fixed/Guaranteed Returns or Capital Protection:**
Brokers, their authorized representatives, or associates are not authorized to offer fixed, guaranteed, or regular returns or provide capital protection on your investments. Additionally, brokers cannot enter into loan agreements to pay interest on your funds. If you invest under any arrangement promising indicative returns, please note that the Exchange's relevant Committee will not accept claims for funds or securities in the event of a default by the broker, as per Exchange norms.

- **Do Not Leave Funds Idle with Your Broker:** Your broker is required to return any credit balance within three working days if you have not conducted any transactions in the last 30 calendar days. In case of a default by a broker, claims for funds or securities where no transactions have been made will not be accepted by the relevant Committee of the Exchange, in line with approved norms.
- **Account Settlements:** Ensure that your broker settles your account in accordance with the frequency you have opted for. If you have chosen a "running account," the broker must settle the account at least once every 90 days (or within 30 days if you opted for a 30-day settlement). If your broker is declared a defaulter, claims made by clients will be subject to the eligibility norms for compensation from the Investor Protection Fund (IPF), as detailed on the Exchange's website. Please refer to the following link for further information: [IPF Eligibility Guidelines](#).
- **Securities as Margin:** Brokers are prohibited from accepting the transfer of securities as margin. Any securities used as collateral must remain in your account and can only be pledged through a 'margin pledge' in the Depository system. Brokers are not authorized to accept securities from clients for any purpose other than for the settlement of securities sold by the client.
- **Securities as Margin:** Brokers are prohibited from accepting the transfer of securities as margin. Any securities used as collateral must remain in your account and can only be pledged through a 'margin pledge' in the Depository system. Brokers are not authorized to accept securities from clients for any purpose other than for the settlement of securities sold by the client.

- **Update Your Contact Information:** Keep your contact details (such as your mobile number and email ID) up to date with your broker. These details are mandatory for your account. Ensure that your broker updates your contact information in the Exchange's records. If you are not receiving messages from the Exchange or Depositories, immediately contact your broker or the Exchange.
- **Monitor Emails/SMS Alerts from the Exchange:** Do not ignore any emails or SMS notifications from the Exchange regarding your trades. Cross-check these with the contract notes or account statements from your broker. Report any discrepancies in writing to your broker immediately. If your broker does not respond, escalate the issue to the Exchange/Depositories.
- **Compare Weekly Fund and Securities Balances:** Review the weekly messages from the Exchange regarding your funds and securities balances and compare them with the statement from your broker. If you notice discrepancies, promptly raise the issue with the Exchange.
- **Avoid Transferring Funds to Unauthorized Parties:** Never transfer funds to anyone other than a SEBI-registered stockbroker for trading purposes. This includes transfers to authorized persons or associates of the broker.

By adhering to these guidelines, you ensure greater safety and protection for your investments. Always exercise caution and stay informed about the processes that govern your trading activities.